

Review Article

Optimization and Efficiency Studies of Heat Engines: A Review

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A B S T R A C T

This review aims to study the various theoretical and numerical investigations in the optimization of heat engines. The main focus is to discuss the procedures to derive the efficiency of heat engines under different operating regimes (or optimization criteria) for different models of heat engines such as endoreversible models, stochastic models, low-dissipation models, quantum models etc. Both maximum power and maximum efficiency operational regimes are desirable but not economical, so to meet the thermo-ecological considerations, some other compromise-based criteria have been proposed such as Ω criterion (ecological criterion) and efficient power criterion. Thus, heat engines can be optimized to work at an efficiency which may not be the maximum (Carnot) efficiency. The optimization efficiency obtained under each criterion shows a striking universal behaviour in the near-equilibrium regime. We also discussed a multi-parameter combined objective function of heat engines. The optimization efficiency derived from the multi-parameter combined objective function includes a variety of optimization efficiencies, such as the efficiency at the maximum power, efficiency at the maximum efficiency-power state, efficiency at the maximum criterion, and Carnot efficiency. Thus, a comparison of optimization of heat engines under different criteria enables to choose the suitable one for the best performance of heat engine under different conditions.

Keywords: Optimization Criterion, Operating Regime, Ecological, Efficient Power

Introduction

The second law of thermodynamics constraints the operation of a heat engine. It sets limits on the possible efficiency of the engine and thus determines the direction of energy flow. Sadi Carnot, a French engineer, in 1826, found that the maximum efficiency of a heat engine operating between a hot and a cold reservoir is attained only for a reversible process and named as Carnot efficiency,¹ $\eta_c = 1 - T_c/T_h$, with T_c and T_h being the temperatures of the cold and

hot reservoirs respectively and hence, depends exclusively on these temperatures.² Thus, the Carnot efficiency is upper bound for the efficiency of any heat engine. However, Carnot efficiency can only be achieved through an infinitely slow process as required by thermodynamic equilibrium. So, for such a process, the power output resulting from finite heat transfer by heat exchangers should be practically zero. Thus, Carnot efficiency does not seem to have practical importance and constitutes a poor guide for the performance of real heat engines. In recent years,

several studies have been made in connection with the efficiency of realistic heat engines. The field of "Finite-time thermodynamics" has become a popular area of research since it deals with the realistic constraints in heat engines such as finite-time of the engine operation cycle, finite reservoirs, internal friction etc. These constraints, in turn, lead to a less efficient heat engine than Carnot engine but is of practical importance. The important thing is to find the best mode of operation of heat engines, under more realistic conditions than reversible ones. A number of different optimization criteria have been proposed for heat engines working under finite-time conditions.³⁻¹³ Some of them maximize power, work, effectiveness, of profit, and there are also those that minimize the loss of available work or entropy production. For practical purposes and to achieve a non-vanishing power output, the Carnot cycle should be speeded up and completed in a finite time.

One optimization criterion widely used is MP criterion to determine the Efficiency at Maximum Power (EMP). This problem has attracted much attention.¹⁴⁻³⁷ In 1955, Odum and Pikerton, for the first time, studied the efficiency of heat engine which is made to operate at maximum output power.¹⁴ Later in 1975, a better approximation of the performance of real heat engines was made by Curzon and Ahlborn, one of the first models that presents the power output as an optimization criterion and thus derived the efficiency of a heat engine known as the Curzon-Ahlborn (CA) efficiency, $\eta_{CA} = 1 - \sqrt{T_c/T_h}$.³ Curzon and Ahlborn considered an irreversible model of heat engine unlike the reversible Carnot model by taking irreversible linear finite rate heat transfer between the working fluid and its two heat reservoirs, though it is not the only source of irreversibility in real heat engines. The Curzon and Ahlborn engine does not allow for any internal irreversibility and so is called "Endoreversible heat engine".¹⁵⁻¹⁷ The impact of some other kinds of irreversibility on the performance efficiency of real heat engines has been studied by different groups. Subsequently, Gutowicz-Krusin,¹⁸ Orlov,¹⁹ DeVos,^{20,21} Yan^{22,23} studied the irreversible Carnot like heat engines for EMP under different heat transfer laws unlike Newton heat transfer law used by Curzon and Ahlborn. Gordon²⁴ made an attempt to incorporate internal irreversibility in the finite-time analysis of the power vs efficiency relationship of a thermoelectric generator and later the internal irreversibilities in a Carnot engine were characterized in terms of entropy generations²⁵ to study the performance of heat engine at maximum power. The validity of η_{CA} as an upper bound for heat engines, as well as its universal character, were the subject of a long standing debate. In the linear regime, it was shown that the efficiency at maximum power is indeed limited by the Curzon-Ahlborn efficiency, which in this regime is exactly half of the Carnot efficiency.²⁶ Later, Schmiedl and Seifert,²⁹ Tu ZC³⁰ and Esposito et al.³¹

investigated the problem of EMP using stochastic heat engines, Feynmann's ratchet and quantum dot engines. The corresponding thermodynamic efficiency in all these models agrees with the η_{CA} up to quadratic terms in η_c . One of the most profound findings is the universal behaviour of the EMP,³² i.e., at small relative temperature differences the EMP can be universally expressed in terms of the Carnot efficiency up to quadratic order, $\eta_{MP} = \eta_c/2 + \eta_c^2/8 + O(\eta_c^3)$ where the linear coefficient 1/2 is universal for the systems operating under the strong coupling condition in the linear response regime.²⁶ Beyond the linear response, the universal value of the quadratic coefficient is equal to 1/8 for the strong coupling systems in the presence of left-right symmetry.³² Esposito et al.³⁴ found that the EMP for low-dissipation Carnot-like engines was bounded between $\eta_- \equiv \eta_c/2$ and $\eta_+ \equiv \eta_c/(2 - \eta_c)$. All these results have been confirmed within a minimally nonlinear irreversible thermodynamics framework.³⁷⁻³⁹ Subsequently, Uzdin and Kosloff⁴⁰ studied hot quantum Otto engines and identified the universal behaviour of the efficiency at maximum output power. Sheng and Tu⁴¹ applied the generic finite-time thermodynamics to obtain the universality of the EMP for tight-coupling heat engines. Cleuren *et al.*⁴² demonstrated how symmetries and constraints at the microscopic level, combined with the fluctuation theorem, emerge at the macroscopic level via the expression for the EMP. The universality of EMP has been extensively investigated in literature. However, the actual thermal plants and heat engines may not work in maximum power regime but rather in the regime with slightly small power and considerably large efficiency, Therefore, it is of great importance to study the efficiency of heat engines at arbitrary power output. The studies in this direction were performed in Refs. [43-49] In above models, η_{CA} seems to have some sort of universality independent of the model details. But, in CA efficiency, the temperature differences between the reservoirs and the working substance are taken as the parameters to maximize the power, they do not seem easily controllable. Thus, the CA efficiency seems to be still controversial in these aspects. Regarding the verification of the validity of η_{CA} , Izumida and Okuda (IO)^{50,51} proposed numerical experiments performed by means of a Molecular Dynamics (MD) simulation⁵²⁻⁵⁴ of a weakly interacting gas, which can be regarded as a nearly ideal gas, in a finite-time Carnot cycle. The authors studied the efficiency at maximum power (η_{MP}) of their model and found that η_{MP} does not always agree with η_{CA} rather $\eta_{MP} > \eta_{CA}$, but approaches η_{CA} in the limit $T_c \rightarrow T_h$. IO asserted that this difference between η_{MP} and η_{CA} is due to additional heat transfers which may be missed in the original derivation of η_{CA} .³ Recently, increasing attention has been drawn to optimize heat engines which do not operate in the maximum power regime, instead, under the compromise between the energy benefit and the power

loss. To evaluate this compromise, ecological criterion ($E = P - T_0 \sigma$)^{4,55} and a unified optimized Ω criterion [$\Omega = (2\eta - \eta_c) P/\eta$]⁷ have been proposed to optimize real heat engines, where P is the power output T_0 is the environmental temperature, and σ is the entropy production rate. Further, it was proved that the Ω function is equivalent to the E function (uniformly called $E - \Omega$ function).⁵⁶ The important feature of the maximum Ω criterion is that it gives an optimized efficiency lying between the maximum efficiency and the EMP, i.e., $\eta_{CA} < \eta_{m\Omega} < \eta_c$. Angulo-Brown et al.⁵⁷⁻⁵⁸ first discussed about the possibility of thermodynamic optimization in some biochemical reactions within FT framework. The expansion of the optimization efficiency (near equilibrium) $\eta_{EO} = 3\eta_c/4 + \eta_c^2/32 + O(\eta_c^3)$, has also been proved from the symmetry of the Onsager coefficients, where the coefficient $3/4$ is universal for the strong coupling condition in the linear response regime.^{56,59} Further, it has been shown that the quadratic coefficient $1/32$ is also universal for the strongly coupling systems with the left right symmetry.⁵⁶ The universality of such an efficiency has been investigated in different heat engines such as stochastic Brownian heat engines,⁶⁰ Feynman ratchet heat engines,⁶⁰ quantum dot heat engines,⁶⁰ low-dissipation heat engines,⁶¹ classical heat engines,⁶² and minimally nonlinear irreversible heat engines⁶³ and laser quantum heat engine⁶⁴ Another optimization criterion is Efficient power, defined as the product of efficiency and power (ηP), pays equal attention to both the efficiency and the power output, was first proposed in Refs. [65, 66] and further extended in studies.^{12-13,67-69}

This review article will report the advances in the efficiency derivation of different models of heat engines. The paper is organized as follows. In Section 2, we discuss about the Maximum power criterion and its applications to different models of engines such as Endoreversible model, stochastic model, low dissipation model etc... Section 3 will be devoted to the description of maximum ecological (or Omega criterion) and its applications to the same models. Results of MP and ME criterion will be compared for these models. In section 4, numerical simulations for efficiency calculation under MP and ME criterion will be discussed. Section 5 and Section 6 presents the brief discussion on efficient power and multiparameter objective criterion. Finally, we will discuss and conclude our review in Section 7 and Section 8.

Efficiency at Maximum Power (EMP) or Maximum Power (MP) Criterion

Curzon Ahlborn Engine or Endoreversible Carnot Cycle

The pioneer work to optimize the heat engines at maximum power conditions was done by Curzon Ahlborn in 1975³ keeping in view the practical importance of heat engine.

Let us briefly discuss about Curzon Ahlborn heat engine:

Model

The T - S diagram of an Endoreversible Carnot cycle is shown in Figure 1. The cycle operates between a heat source of temperature T_h and a heat sink of temperature T_c . The heat engine considered by Curzon and Ahlborn operates in a Carnot-like cycle consisting of the following four processes.

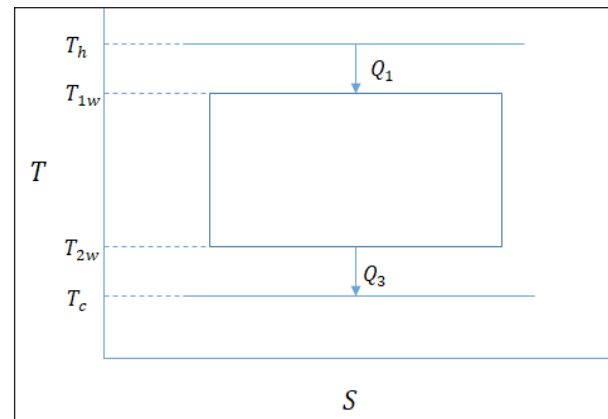


Figure 1. Endoreversible Carnot Cycle

Isothermal expansion process: In this process, the working substance absorbs heat, $Q_1 = \alpha (T_h - T_{1w}) t_1$, from the hot reservoir at temperature T_h during the time interval of t_1 and thus expands, where α is heat conductivity. The effective temperature of the working substance is assumed to be $T_{1w} (< T_h)$, which is a constant. The total entropy production in this process is $\Delta S_1 = Q_1/T_h + \Delta S_1^{ir}$, where $\Delta S_1^{ir} > 0$ is the irreversible entropy production. Due to the convenient consideration, we take the Boltzmann factor $k_B = 1$ in the whole review.

Adiabatic expansion process: In this process, there will be no heat exchange with reservoirs and the entropy change will be zero, i.e., $Q_2 = 0$ and $\Delta S_2 = 0$. Let t_2 be the time taken to complete this process.

Isothermal compression process: In this process, the working substance releases heat, $Q_3 = \beta (T_{2w} - T_c) t_3$, to the cold reservoir at temperature T_c and is compressed, where β is heat conductivity. The time for completing this process is assumed to be t_3 . The effective temperature of the working substance is assumed to be $T_{2w} (> T_c)$, which is a constant. The total entropy production in this process is $\Delta S_3 = -Q_3/T_c + \Delta S_3^{ir}$, where $\Delta S_3^{ir} > 0$ is the irreversible entropy production.

Adiabatic compression process: In this process also, both the heat exchange and the variation of entropy are vanishing, i.e., $Q_4 = 0$ and $\Delta S_4 = 0$. After a whole cycle, the working substance regains its initial state. As a slight deviation from the classical cycle analysis, we consider the four processes taking place simultaneously rather than sequentially.

The total time for the cycle is proportional to the time for

completing the two isothermal processes, i.e., $t_{tot} = \xi (t_1 + t_3)$, where ξ is a constant. Since $\Delta S_1^{ir}, \Delta S_3^{ir} > 0$, the engine operating between the two reservoirs at temperatures T_h and T_c is irreversible. However, as shown in Figure 2, the irreversible engine can be mapped onto a reversible engine⁷⁰ working between two reservoirs at effective temperatures T_{1w} and T_{2w} . This assumption can be expressed as

$$Q_1/T_{1w} - Q_3/T_{2w} = 0 \quad (1)$$

So the Curzon–Ahlborn heat engine is also called the Endoreversible engine.

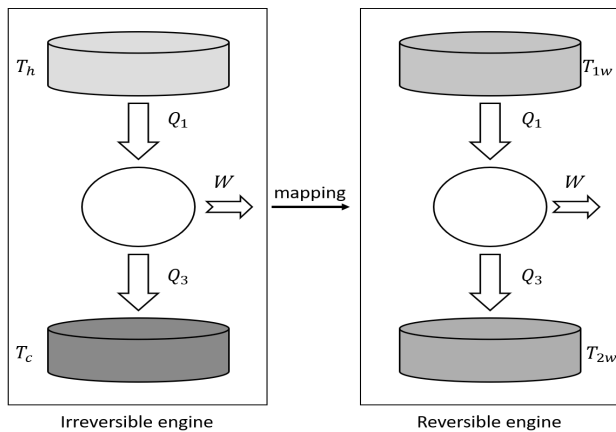


Figure 2. Endoreversible assumption

Optimization

The net work delivered by the Curzon–Ahlborn heat engine is given as $W = Q_1 - Q_3$. The power output for heat engine is defined as $P = W/t_{tot}$. To optimize the engine, power is maximized w.r.t T_{1w} and T_{2w} , i.e., by setting $\partial P/\partial T_{1w} = \partial P/\partial T_{2w} = 0$ and we obtain

$$T_{2w}/T_{1w} = \sqrt{T_c/T_h} \quad (2)$$

The efficiency is defined as $\eta = W/Q_1$. Using Eq. (1), we have

$$\eta = 1 - T_{2w}/T_{1w} \quad (3)$$

By making use of Eq. (2) in above expression, we obtain Curzon Ahlborn (CA) efficiency as

$$\eta_{CA} = 1 - \sqrt{T_c/T_h} \quad (4)$$

This relation has in fact been obtained by Novikov⁷¹ and Chambadal⁷² when they investigated the efficiency of atomic power stations. For the historic cause, Eq. (4) is still called the Curzon–Ahlborn efficiency in most literature.

Endoreversible Systems with Different Heat Transfer Laws¹⁵

The Curzon Ahlborn engine showed the irreversibilities due to finite heat conduction and it directly influenced the behavior of Endoreversible systems. Newtonian heat conduction was chosen to model the heat transfer between engine and thermal reservoirs. In general, these heat transfer laws can be much complicated and thus drastically influence the performance of Endoreversible

heat engines. Let us discuss some different heat transfer law used in literature in context with Curzon–Ahlborn engine. All these transfer laws will be expressible as the function of temperatures of the two-contacts connected.

- Newton Law

The Newton heat transfer law has already been used in this Ref.³ It assumes that the flow of heat is proportional to the temperature difference between two contacts 1 and 2 as

$$q = K(T_1 - T_2) \quad (5)$$

Heat flux in solids can be well approximated by a linear heat transfer law. Due to its simplicity the Newton heat transfer law is widely used and many authors have studied the performance of Endoreversible engines, coolers and heaters based on such a linear heat transfer law.^{18,73-77}

- Fourier Law

For the Fourier heat transfer law, the heat flux, q , is proportional to the difference of the inverse of temperatures of contacts 1 and 2 as

$$q = K \left(\frac{1}{T_2} - \frac{1}{T_1} \right), \quad (6)$$

where K is Onsager coefficient. This type of heat transfer is often found in conjunction with linear irreversible thermodynamics, as there the driving force corresponding to the heat flux is nothing but the difference of the inverse temperatures. The Fourier heat law has been used in endoreversible systems,⁷⁸ like the Curzon Ahlborn model for example. If the Fourier heat transfer law is used in both heat exchangers of the Curzon Ahlborn model or if equations (6) and (7) are replaced with $Q_1 = \alpha(1/T_{1w} - 1/T_h)$, t_1 and $Q_3 = \beta(1/T_c - 1/T_{2w}) t_3$, respectively, through similar calculations, Chen and Yan²² derived the EMP to be

$$\eta_{CY} = \frac{\eta_c}{2 - \gamma_{CY} \eta_c} \quad (7)$$

with $\gamma_{CY} = 1/(1 + \sqrt{\beta/\alpha})$.

Radiation

Hot body like sun emits EM radiation and that too can serve as a source of heat for heat engines. Radiative heat transfer is typically described by the Stefan-Boltzmann law for black body radiation and the heat flux between two radiating bodies at temperature T_1 and T_2 is given as

$$q = (K_1 T_1^4 - K_2 T_2^4), \quad (8)$$

The coefficients K are proportional to the Stefan-Boltzmann Constant, the emittance of the two radiating bodies and geometry factors.

Dulong-Petite

There are some physical situations where the heat transfer between two sub-systems involves conductive as well as radiative components. A combined conductive-convective and radiative heat transfer can be described in a simplified

fashion and is called Dulong-Petit law as

$$q = K (T_1 - T_2)^n \quad (9)$$

where K is a proportionality constant. The value of the exponent is usually in the range between 1.1 and 1.6. Angulo-Brown and Paez-Hernandez⁷⁹ investigated the Curzon Ahlborn model using Dulong Petit heat conduction law with $n = 5/4$ and Chen and Yan et al.⁸⁰⁻⁸² also examined the forward and reverse Carnot cycles with Curzon Ahlborn model for arbitrary n .

Generalized Heat Transfer Law

Some authors generalized the above discussed heat transfer laws and analyzed systems obeying a non-linear heat transfer law of the form

$$q = (K_1 T_1^n - K_2 T_2^m) \quad (10)$$

Which includes the Newton ($n=m=1$), Fourier ($n=m=-1$), and radiative ($n=m=4$) heat transfer laws as special cases. The influence of the non-linear heat transfer law $q = K (T_1^n - T_2^m)$ on the Curzon-Ahlborn model was studied by Chen²², Gordon²⁴ and Nulton *et al.*⁸³

Stochastic Heat Engines

In 2008, Schmiedl and Seifert²⁹ constructed a stochastic heat engine by using an optical trap to control a Brownian particle to perform a Carnot-like cycle and thus derived the EMP within the context of stochastic thermodynamics.⁸⁴⁻⁸⁶

Model

The controlled particle performs the following four processes similar to Carnot-cycle:

Isothermal expansion process: During this process, a certain amount of heat Q_1 is absorbed from the hot reservoir at temperature T_h by the particle embedded in it. The governing potential $V(r, \lambda_1(\tau))$ is time-dependently varied by coordinating the intensity of the optical trap during $0 < \tau < t_1$, where $\lambda_1(\tau)$ represents the protocol of coordination. There will be an entropy change during this process.

Adiabatic expansion process: During this process, there is no heat exchange as well as no entropy change i.e $Q_2 = 0$ and $\Delta S_2 = 0$. This is an instantaneous process where the temperature is switched from T_h to T_c at time $\tau = t_1$. The position distribution of the Brownian particle does not change during this step. To keep the distribution unchanged, the potential also needs a corresponding sharp change.

Isothermal compression process: During this process, the particle is embedded in a cold reservoir at temperature T_c and a certain amount of heat Q_3 is released to the cold reservoir. The potential is time-dependently changed during $t_1 < \tau < t_1 + t_3$. The protocol of coordination is denoted by $\lambda_3(\tau)$. Again, in this process, there will be net change in the entropy.

Adiabatic compression process: Similar to the adiabatic expansion process, this is also an instantaneous process and there will be vanishing entropy change and no exchange of heat with the reservoir, i.e., $Q_4 = 0$ and $\Delta S_4 = 0$. After a whole cycle, the position distribution of the Brownian particle returns to its initial distribution. Thus, the changes of the total energy and the entropy vanish.

The key assumption is that the position distribution $p(r, t)$ of the Brownian particle in an isothermal process at temperature T satisfies the stochastic dynamics as $\partial p(r, \tau) / \partial \tau = -\nabla \cdot \mathbf{j}$, where \mathbf{j} and $\boldsymbol{\mu} \cdot (\nabla V + T\nabla)p(r, \tau)$, is the mobility tensor. Within stochastic thermodynamics frame work, the irreversible entropy production in the isothermal process can be expressed as

$$\Delta S^{ir} = T \int_{t_i}^{t_f} d\tau \times \int d^3r \frac{j(r, \lambda(\tau)) \cdot \boldsymbol{\mu}^{-1} \cdot j(r, \lambda(\tau))}{p(r, \tau)}, \quad (11)$$

where $\boldsymbol{\mu}^{-1}$ is the inverse of the mobility tensor, t_i and t_f represent the start and the end time of the process, respectively, T is the temperature which is equal to T_h and T_c for the isothermal expansion process and the isothermal compression process, respectively and $\lambda(\tau)$ represents the protocol and equals $\lambda_1(\tau)$ and $\lambda_3(\tau)$ for the isothermal expansion process and the isothermal compression process, respectively. For a given protocol, it is observed that minimum irreversible entropy production in the isothermal process has the form

$$\min\{\Delta S^{ir}\} = \frac{A}{T(t_f - t_i)}, \quad (12)$$

where A is the irreversible action named by Schmiedl and Seifert.²⁹

Optimization

Similar to the thermodynamics analysis in CA engine, the expressions of power and efficiency for the stochastic heat engine can be given as

$$P = \frac{(T_h - T_c)\Delta S - (T_h\Delta S_1^{ir} + T_c\Delta S_3^{ir})}{t_1 + t_3}, \quad (13)$$

$$\eta = \frac{(T_h - T_c)\Delta S - (T_h\Delta S_1^{ir} + T_c\Delta S_3^{ir})}{(T_h(\Delta S - \Delta S_1^{ir}))} \quad (14)$$

Power maximization can be done by first minimizing ΔS_1^{ir} and ΔS_3^{ir} with respect to the protocols for given time t_1 and t_3 , which gives the optimized protocols $\lambda_1^*(\tau)$ and $\lambda_3^*(\tau)$. After that, power is maximized with respect to time t_1 and t_3 for the optimized protocols. With reference to Eq. (12), the minimum irreversible entropy productions in two isothermal processes can be expressed as $\min\{\Delta S_1^{ir}\} = A_1/t_1$ and $\min\{\Delta S_3^{ir}\} = A_3/t_3$. Substituting them into Eq. (13) and maximizing the power with respect to t_1 and t_3 , we obtain the optimized time as

$$t_l^* = \frac{2\sqrt{A_l}(\sqrt{A_1} + \sqrt{A_3})}{(T_h - T_c)\Delta S}, \quad (l = 1, 3), \quad (15)$$

By substituting the above equation and the minimum irreversible entropy productions (Eq. (12)) into Eq. (14), we can derive the EMP, also called Schmiedl and Seifert

efficiency (η_{ss}), as

$$\eta_{ss} = \frac{\eta_c}{2 - \gamma_{ss}\eta_c} \quad (16)$$

where $\gamma_{ss} = 1/(1 + \sqrt{A_3/A_1})$. In particular, when aharmonic potential is used to represent the effect of the optic trap i.e. $A_1 = A_3$, the above equation is reduced to

$$\eta'_{ss} = \frac{2\eta_c}{4 - \eta_c} \quad (17)$$

Another model of stochastic heat engine was studied in Ref. [87] in which authors had investigated the stochastic thermodynamics of a two-particle Langevin system. The model consists of two Brownian particles in one dimension which are trapped by a harmonic potential and driven by a linear external force. Two particles are in contact with a heat bath at different temperatures. This temperature difference induces a heat flow, and thus enabled the system to work against the external force. The system act as an autonomous heat engine performing work against the external driving force. The derivation of EMP for this engine is found to be same as CA efficiency which is the universal result of Endoreversible heat engines but this engine operates in non-equilibrium condition and thus not Endoreversible.

Feynman's Ratchet as a Heat Engine

To explain the second law of thermodynamics, Feynman introduced an imaginary microscopic ratchet device in his famous lectures.⁸⁸ Feynman's ratchet, as a parental model, was investigated by many researchers.⁸⁹⁻⁹² A related study of interest is efficiency at maximum power of Feynman ratchet since the processes of heat and work transfer are assumed to occur at finite rates, thus generating a finite output power. In particular, EMP of Feynman's ratchet was obtained by optimizing the external load for a given internal parameter in Refs. [90-92] which was investigated further by optimizing both the internal parameter and the external load of the ratchet device.³⁰ The main ideas and findings of the work for EMP on this system will be discussed here.³⁰ The ratchet is shown below in Figure 3.

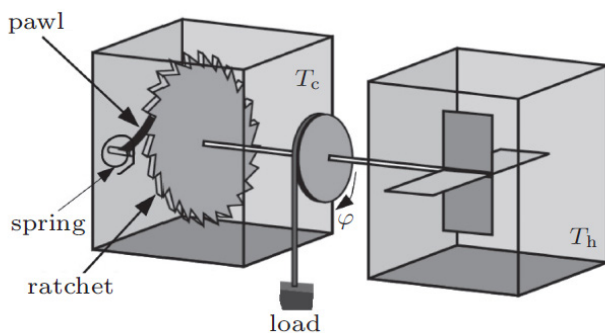


Figure 3. Feynman's Ratchet Device

$$R_F = r_0 e^{-\frac{(\epsilon + M\theta)}{T_h}} \quad (18)$$

where r_0 is a rate constant with units of s^{-1} . A part of this heat is converted into work $M\theta$, and the remaining energy

is eventually transferred as heat ϵ to the cold reservoir through the interaction between the ratchet and the pawl. Similarly, in the backward step, energy ϵ should be accumulated from the cold reservoir to lift the pawl high enough so that the ratchet can slip. The rate to get this energy is

$$R_B = r_0 e^{-\frac{\epsilon}{T_c}} \quad (19)$$

In the backward process, the work done by the load is $Z\theta$. This energy and the accumulated energy ϵ are returned to the hot reservoir in the form of heat. Using the rates of forward and backward rotations, the power of Feynman's ratchet system is given as

$$P = (R_F - R_B)M\theta = r_0 M\theta \left[e^{-\frac{(\epsilon + M\theta)}{T_h}} - e^{-\frac{\epsilon}{T_c}} \right] \quad (20)$$

By assuming that the heat leakage due to the kinetic energy vanishes (perfect ratchet device), the net rate of heat absorption from the hot bath via the potential energy⁹³⁻⁹⁴ may be expressed as:

$$\dot{Q}_1 = (R_F - R_B)(\epsilon + M\theta) \quad (21)$$

Therefore, the efficiency can be expressed as

$$\eta = \frac{P}{\dot{Q}_1} = \frac{M\theta}{\epsilon + M\theta} \quad (22)$$

It is to be noted that P depends on the internal parameter ϵ and the external load Z . It is easy to tune the external load Z . Here, both ϵ and Z are optimized to achieve the maximum power. After maximizing power, we obtain optimized values ϵ^* and Z^* , which in turn, substituted in Eq. (22) to obtain efficiency at maximum power (η_r). The EMP for Feynman's ratchet device is

$$\eta_T = \frac{\eta_c^2}{\eta_c - (1 - \eta_c)\ln(1 - \eta_c)} \quad (23)$$

It is observed that above result is slightly higher than obtained η_{CA} by Curzon and Ahlborn for macroscopic heat engines and η_{ss} obtained by Schmiedl and Seifert for stochastic heat engines. Efficiency at maximum power of Feynman ratchet have been studied further as hot and cold ratchet with numerical examples,⁹⁵ by using thermoelectric transport theory⁹⁶ etc. The Feynman-Smoluchowski (FS) ratchet^{88,97} is studied in the high temperature regime and thus universality of EMP (see section below) up to second order is reproduced through non-linear approximation in the output power.⁹⁸

Universality of EMP

One of the most profound findings is the universality of the EMP³² and Van den Broeck, Esposito and Lindenberg had done remarkable contribution to study the universal behaviour of EMP. Firstly, Van den Broeck²⁶ proved that, in linear regime, more precisely to linear order in η_c , EMP is indeed limited by the CA efficiency, and is exactly half of the Carnot efficiency, as $\eta \leq \eta_{CA} = \eta_c/2 + O(\eta_c^2)$. The upper

limit is reached for a specific class of models, namely, those for which the heat flux is strongly coupled to the work-generating flux. Later, in 2009, Esposito *et al.*³² constructed a general heat engine and verified that the EMP exhibits universality up to a quadratic order term of η_c for the strong coupling system in the presence of a left-right symmetry. Thus, when EMP is investigated at small relative temperature difference (or near equilibrium), it has been observed that EMP in all cases yield similar terms up to second order as

$$\eta_{MP} = \eta_c/2 + \eta_c^2/8 + O(\eta_c^3), \quad (24)$$

where the linear coefficient 1/2 is universal for the systems operating under the strong coupling condition in the linear response regime. Beyond the linear response, the universal value of the quadratic coefficient is equal to 1/8 for the strong coupling systems in the presence of left-right symmetry.³² The above universality predictions have been confirmed in a number of heat engine systems involving classical and quantum regimes.^{33-34,99-102}

EMP Bounds and Low Dissipation Heat Engines

In Endoreversible models,^{3,103-104} the working medium is assumed to be internally reversible and also there are no heat leaks between the heat baths. The source of irreversibility is solely due to the finite rate of heat transfer between the working medium and the external thermal baths. However, CA efficiency is not a universal result, and also it is neither an upper nor a lower bound. Recently, heat engines have been studied under another type of expansion, namely the so-called low-dissipation limit,³⁴ which departs from a first order approximation in the entropy generation of irreversible heat devices. In this limit, not the thermodynamic forces, but rather the operation times for the various stages of the engine are the central quantities. It is assumed that reversible operation is attained when these times go to infinity. Maximum power is achieved by optimization of the operation times. It was shown that the Curzon-Ahlborn efficiency is reproduced for a specific optimization procedure of this type. The authors in Ref. [105] presents a general thermodynamic frame work for a work producing engine, which cyclically runs through a number of stages $j = 1, \dots, N$ such that the system can be in contact with ideal reservoirs of heat and particles (including in particular an adiabatic transformation with no exchange of energy or particles) during these stages. In accordance with the second law, the entropy change in a system is (in each step of the cycle) the sum of the entropy exchange with the reservoir and a non-negative entropy production term given as

$$\Delta S_j = \Delta_e S_j + \Delta_i S_j, \quad (25)$$

where $\Delta_e S_j = \frac{Q_j}{T_j}$,

and $\Delta_i S_j \geq 0$

Let the durations of each stage j is τ_j . In the limit $\tau_j \rightarrow \infty \forall j$, the corresponding infinitely slow process becomes reversible, hence $\Delta_i S_j \rightarrow 0, \forall j$. By operating at a finite time, but still close to the reversible limit, dissipation increases as the inverse of these operation times and is called weak dissipation or Low dissipation (LD) limit, i.e.,

$$T_j \Delta_i S_j = \frac{\sigma_j}{\tau_j} + O(1/\tau^2) \quad (26)$$

This type of dependence has been observed in a number of explicit model calculations.³⁷⁻³⁹ It also appears as a lower bound in more general discussions.¹⁰⁶⁻¹⁰⁷ The assumption is expected to be valid if the set-up (system plus contact to reservoirs) has a smallest non vanishing relaxation time. The parameters σ_j incorporate information such as system and contact characteristics and operational prescription. All irreversibilities (dissipations) are incorporated in the parameters, which play the same role as the thermal conductances of the CA-model. This framework can be used to investigate various types of thermodynamic machines. In Ref. [34] authors consider a minimal and generic model of a standard Carnot engine, operating between a hot and a cold reservoir at temperature T_h and T_c ($< T_h$). We will refer to the corresponding stages as $j = h$ and $j = c$. The other stages are adiabatic, i.e., $\Delta_e S_j = 0$, for $j \neq h$ and $j \neq c$. Power is maximized as a function of the operation times i.e. τ_j and then solving the equation $\frac{\partial P}{\partial \tau_j} = 0, \forall j$ for operational times and then efficiency at maximum power is then calculated as

$$\eta^* = \frac{\eta_c \left(1 + \sqrt{\frac{\sigma_h}{\sigma_c}}\right)}{2 + (2 - \eta_c) \frac{\sigma_h}{\sigma_c}} \quad (27)$$

For "symmetric dissipation", more precisely $\sigma_c/\sigma_h = 1$ one recovers the Curzon-Ahlborn efficiency $\eta^* = \eta_{CA} = 1 - \sqrt{1 - \eta_c}$. Further, the limits $\sigma_h/\sigma_c \rightarrow 0$ and $\sigma_c/\sigma_h \rightarrow 0$ provide a lower and upper bound, respectively for the efficiency as:

$$\frac{\eta_c}{2} \leq \eta^* \leq \frac{\eta_c}{2 - \eta_c} \quad (28)$$

The above analysis includes the simpler case of an Endoreversible 4-stage engine, presented in Ref.[34] These results are consistent with those obtained by Chen and Yan²² based on the Endoreversible assumption and those obtained by Schmiedl and Seifert²⁹ for stochastic heat engines which in fact also satisfy the low-dissipation assumption. The important result of above study is that EMP is exactly the CA-value when these constant (dissipation constants) are equal. The CA efficiency is reproduced without invoking any specific heat transfer law. So, one can say that taking the equality of the irreversibility constants as a symmetry condition play the same role as the left-right symmetry of the fluxes in the strong coupling systems. Thus, universality of efficiency at maximum power (up to second order) emerge as a general property linked to symmetric conditions. The authors have generalized the above scenario for a multiple bath heat engine which means

an engine exchanging heat with more than two baths. The analogue of the Carnot efficiency (reversible efficiency) as the upper bound for this efficiency, will be denoted by η_{rev} . The analogue of the Eq. (28) which describe the bounds for a heat engine with multiple baths in low dissipation limit can be obtained as

$$\frac{\eta_{rev}}{2} \leq \eta^* \leq \frac{\eta_{rev}}{2-\eta_{rev}} \quad (29)$$

Thus, low-dissipation limit should generically describe correctly—at least to first order—the irreversible correction to the reversible limit. The endo-reversible approximation on the other hand is a system-dependent approximation, based on the assumption that the dissipation can be neglected in some of the stages (e.g., the adiabatic phases), which may or may not be true.

Wang et al.³⁷ showed that the maximum power output corresponds to minimizing the irreversible entropy production in two “isothermal” processes in the Carnot-like cycle. They argued that the low-dissipation assumption is reasonable in the long-time limit, because the “isothermal” process is quasi-static in the long-time limit, and the irreversible entropy production should be vanishing. However, it must be convergent in the short-time limit. Thus, it is necessary to correct the assumption for finite time. The authors emphasized mainly on the assumption that the rate of irreversible entropy production in an “isothermal” process is a quadratic form of heat exchange rate between the working substance and the reservoir and thus minimum entropy production for a given time is given as

$$\min \{\Delta S^{ir}\} = \begin{cases} \frac{(T_l \Delta S)^2}{\kappa_l t_l}, & (t_l \rightarrow \infty) \\ \Delta S, & (t_l \rightarrow 0), (l = h, c) \end{cases} \quad (30)$$

where κ_l can be regarded as the thermal conductivity. Although this assumption is different from the Endoreversible assumption or low-dissipation assumption, but still Eq. (28) is true for this assumption as well. Thus, the low-dissipation assumption is a sufficient condition but not necessary condition for the existence of two bounds $\eta_c/2$ and $\eta_c/(2-\eta_c)$.

A minimal nonlinear irreversible model of heat engine was proposed in Ref.[38] which is described by the extended Onsager relations, where a new nonlinear term which corresponds to power dissipation is added to the heat flux from the hot reservoir in the standard Onsager relation and no other nonlinear terms are assumed. The proposed model is a natural and minimal extension of the linear irreversible heat engine. The efficiency at maximum power for this model is upper bounded by $\eta_c/(2-\eta_c)$. To demonstrate the validity of above assumption, it has been shown that the finite-time Carnot cycle model, called the low-dissipation Carnot engine, can be described by the extended Onsager relations. The relation between the low-dissipation models and the minimally nonlinear irreversible models under the symmetric dissipation condition was further investigated

in Ref.⁶³ Later, based on some improvements of the above models,¹⁰⁸⁻¹¹⁴ many researchers had derived the useful results for the general expression of EMP and its bounds.

Quantum Dot as a Heat Engine and Non-universality of EMP

Quantum dots (QDs) are of significant importance because they are ideally the objects with zerodimension and with discrete electronic states, and thus can be used as perfect energy filters which only allow electron transport at a single energy. Because of their potential use in high efficiency devices, the performance of QD heat engines has been studied extensively by theorists.^{31,33,115-120} QD heat engine consists of a single level quantum dot, with orbital energy ϵ , and it exchanges electrons with a cold left lead at temperature T_l and chemical potential μ_l , and with a hot right lead at temperature T_r and chemical potential μ_r (Figure 4). The quantum dot is either empty (state 1) or filled (state 2). The exchange of electrons between the leads through the dot will be described by a stochastic master equation,¹²¹⁻¹²³ and the corresponding thermodynamic properties can be obtained from stochastic thermodynamics. When operating close to equilibrium, Carnot efficiency will be achieved, while Curzon-Ahlborn efficiency will be reproduced at maximum power conditions in the linear regime. In particular, the efficiency at maximum power will be found to be $\eta = \eta_c/2 + \eta_c^2/8 + \dots$ with the coefficient of η_c^2 , again equals to 1/8. This provides further support for the thesis of universality for this value, especially since the regime of maximum power is found to lie entirely in the quantum regime. The expansion also features the expected coefficient 1/2 for the linear term.

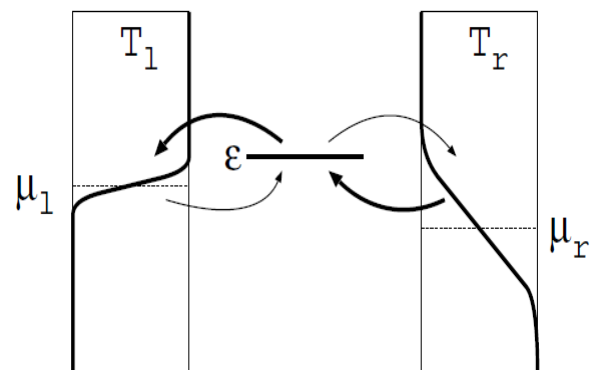


Figure 4. Quantum dot engine

However, it has been observed that this universality may break down for the quantum dot heat engine depending on the constraints imposed, though the tight-coupling condition remains applicable in the sense that the heat flux is directly proportional to the work-generating flux.¹¹⁸ When the energy of quantum dot relative to one of the lead's chemical potential is fixed and the other is varied, the 1/2-universality is observed with non-universal second-

order coefficient depending on the value of the fixed chemical potential, as expected. On the other hand, when the energy of quantum dot is varied with fixed chemical potentials of both leads, we observe a violation of the robust 1/2 universality in near equilibrium expansion of EMP such that the linear coefficient turns out to be unity. Thus, EMP will be much higher compared to the conventional cases. Thus, universality of efficiency requires an additional constraint besides the tight-coupling condition, which turns out to be the applicability of the linear irreversible thermodynamics.^{26,38,96,124-127}

Efficiency at some Arbitrary Power

In recent years, the universality of EMP has been studied extensively in the literature. However, in actual practice, the heat engines may not work in the maximum power regime but rather in the regime with slightly smaller power than the maximum power, yet at a larger efficiency than the EMP. Therefore, it is of great importance to study the efficiency of heat engines at arbitrary power output. The first steps in this direction were performed in Refs.[43-46,49,128] In Ref.[47] the minimally nonlinear irreversible heat engines at arbitrary power are studied and the lower and upper efficiency bounds under the tight coupling condition for different operating regions have been deduced. In the region of higher loads, a small power loss away from the maximum power results a significant large gain in efficiency. Hence, to achieve higher efficiency, it is advisable to operate the heat engine in a regime, which is a slight deviation from the maximum power regime. The study of thermoelectric quantum heat engines under arbitrary power are presented in Refs.^{43,128} The upper bound of efficiency equals to the Carnot efficiency at zero power output but decays with increasing power output. By using approach of linear irreversible thermodynamics in Ref.[48] universal upper bound of efficiency of steady state heat engines working at arbitrary power has been derived. This study also highlighted that a slight deviation from the maximum power conditions can result in higher efficiency, thus making engines more economical. In this context, we recall that any regime in which the efficiency is greater than the efficiency at maximum power and the power is greater than the power at maximum efficiency is considered as an optimum operating regime in FTT.

Maximum Ecological (ME)/ Maximum Omega (MΩ) Criterion

Besides MP Criterion, used as the criterion of merit for the best performance of realistic heat engines, it is possible to use other optimization criterion that makes the best compromise between 'power output and "lost power." This criterion has a long-range purpose in the case of being consistent with ecological targets while MP criterion has been recognized for short term goals¹²⁹ as the results

showed that⁴ the use of the MP criterion brings about a high-entropy production. Thus, Angulo-Brown had suggested an "ecological" criterion⁴ for the best mode of action of CA type engine which was further improved by Yan.⁵⁵ It consists of optimizing the function $E = P - T_c \sigma$, which represents the best compromise between power P and the rate of entropy production σ and the temperature of the cold reservoir T_c , and $T_c \sigma$ is named "power loss." The ME criterion provides approximately 80% of the maximum power, but with entropy production of just 30% of the entropy produced by the MP criterion. In general, ecological function is defined as⁵⁵

$$E = P - T_o \sigma, \quad (31)$$

where T_o is the environment temperature. For a CA engine operating between two reservoirs at a high temperature T_h , and a low temperature T_c , using Eq.(31) and the procedures similar to that of Ref.[4] we can conclude that the efficiency at maximum E is given by

$$\eta_E = 1 - T_c/T_h \sqrt{(T_h + T_o)/(T_c + T_o)} \quad (32)$$

In the limit $T_c \rightarrow T_o$, above equation becomes

$$\eta_E = 1 - \sqrt{\frac{T_c/T_h(1+T_c/T_h)}{2}} \quad (33)$$

Some authors have also suggested entropy production minimization (MEP Criterion). But, Angulo-Brown had successfully showed that the results with ME criterion, when compared with those obtained by minimum entropy production (MEP) criterion¹²⁹ for similar cycle periods, there is a reduced entropy production and in addition, improves power production by about 10%. Also, the efficiency corresponding to ME criterion will be an average of Carnot and CA efficiencies. In addition, there is a unified optimization function $\Omega = (2\eta - \eta_{max})P/\eta$ (Ω function)⁷, which is defined by considering a compromise between the useful energy and the lost energy, where is the maximum efficiency of a heat engine. It was proved that the Ω function is equivalent to the E function (uniformly called $E-\Omega$ function) as shown⁵⁶, where the ecological function E can be further rewritten as

$$E = P - T_c \sigma \quad (34)$$

Using $\sigma = \dot{Q}/T_c - \dot{Q}_h/T_h$, $P = \dot{Q}_h - \dot{Q}_c$, $\eta_c = 1 - T_c/T_h$, we obtain

$$E = P - \dot{Q}_c - \dot{Q}_h \frac{T_c}{T_h},$$

$$E = 2P - \eta_c \dot{Q}_h = \frac{(2\eta - \eta_c)P}{\eta},$$

$$E = \Omega. \quad (35)$$

The implementation of this criterion to heat engines only requires the knowledge of power output P and efficiency η , is independent of environmental parameters and also does not require the explicit evaluation of the entropy generation. These two criteria have a wide range of applications in many fields.¹³⁰⁻¹³⁷ Results show that in all

cases the $E - \Omega$ criterion predicts an optimum operational regime which is intermediate between those arising from maximum useful energy and from maximum efficiency. In other words, any regime in which the efficiency is greater than the efficiency at maximum power and the power is greater than the power at maximum efficiency is considered as an optimum operating regime in FTT. It is found that for the E and Ω functions described above, the efficiency at optimum conditions has the same form expressible as

$$\eta_{E\Omega} = 1 - \sqrt{\frac{(1-\eta_c)(2-\eta_c)}{2}} \quad (36)$$

and this optimized efficiency lying between the maximum efficiency and the EMP, i.e., $\eta_{MP}^{CA} \leq \eta_{E\Omega}^{CA} \leq \eta_c$ (CA Model).

Universality of Efficiency, Bounds and its Comparison with EMP

EMP is verified to be universal up to quadratic order in η_c for the strong coupling systems in the presence of a symmetry condition³². It has been observed that the efficiency at unified trade-off function (maximum Ω -criterion)/ME criterion also show universality up to quadratic term in η_c ⁵⁶, i.e. $\eta_{m\Omega} = 3\eta_c/4 + \eta_c^2/32 + O(\eta_c^3)$. Zhang *et al.* had investigated the efficiency of non equilibrium heat engines based on the master equation model of heat engines and verified that the efficiency at $M\Omega$ -criterion/ME criterion exhibits universality up to quadratic order in the deviation from equilibrium for the strong coupling system in the presence of a symmetry condition. This kind of universality is not exclusive of the maximum power regime. It is possible to obtain other performance criteria generating optimized efficiency with the same kind of universality which also behave as upper bounds. This universal behaviour of maximum Ω -efficiency was already being observed in many models of heat engines such as classical heat engines⁶², stochastic Brownian heat engines⁶⁰, Feynman ratchet heat engines⁶⁰, quantum dot heat engines⁶⁰, low-dissipation heat engines⁶¹, and minimally nonlinear irreversible heat engines¹⁰⁹, and others.^{132,134-135,138} We consider here the results for some models of heat engines already being discussed for MP regime and their comparison with maximum power efficiency. Firstly, Ω function is obtained for the considered models of heat engines, and then, the efficiency under maximum Ω conditions can be obtained, denoted as $\eta_{m\Omega}$.

- For the Endoreversible Curzon-Ahlborn model, the efficiency at maximum Ω given by Eq. (36) as $\eta_{m\Omega}^{CA} = 1 - \sqrt{(1-\eta_c)(2-\eta_c)/2}$, which can be expanded as

$$\eta_{m\Omega}^{CA} = \frac{3\eta_c}{4} + \frac{\eta_c^2}{32} + \frac{3\eta_c^3}{128} + O(\eta_c^4) \quad (37)$$

- For the stochastic heat engine model by Schmiedl and Seifert²⁹, the algebra is straight-forward although cumbersome and the result is given by

$$\eta_{SS} = \frac{C+D}{E} \quad (38)$$

where

$$C = 2(1-\eta_c)[\eta_c(3 + \sqrt{4-2\eta_c}) - 2(2 + \sqrt{4-2\eta_c})],$$

$$D = 8 + 4\sqrt{4-\eta_c} - \eta_c(2 + \eta_c),$$

$$E = 2 + \sqrt{4-\eta_c}[2(1-\eta_c) + (2 + 2\sqrt{4-2\eta_c}) - \eta_c].$$

Which can be expanded as

$$\eta_{m\Omega}^{SS} = \frac{3\eta_c}{4} + \frac{\eta_c^2}{32} + \frac{\eta_c^3}{64} + O(\eta_c^4) \quad (39)$$

- For Feynman ratchet and pawl model considered by Tu³⁰, the algebra is also straightforward and we get

$$\eta_{m\Omega}^T = \frac{\eta_c(5\eta_c-6)}{7\eta_c-8} \approx \frac{3\eta_c}{4} + \frac{\eta_c^2}{32} + \frac{7\eta_c^3}{256} + O(\eta_c^4) \quad (40)$$

- Similarly, for the nano-thermoelectric engine model reported by Esposito *et al.*³¹, the efficiency at maximum power Ω - conditions can be expanded as

$$\eta_{m\Omega}^{ELB} = \frac{3\eta_c}{4} + \frac{\eta_c^2}{32} + \frac{(19+\cosh^2(\alpha_o/2))}{768}\eta_c^3 + O(\eta_c^4) \quad (41)$$

It can be seen that all four models reproduce the same efficiency up to quadratic order when expanded near-equilibrium (small temperature difference) as coefficients 3/4 and 1/32 appear in all linear and quadratic terms and model-dependent differences can be observed at third and higher terms. The 3/4 coefficient has been also observed for a non-isothermal¹³⁴ and isothermal heat engine⁵⁹ within the linear irreversible approach in the limit of strong coupling when the efficiency is calculated under maximum ecological conditions. The results of the optimized efficiencies $\eta_{m\Omega}$ are plotted in Figure 5⁶⁰, which shows that all curves merge together at small temperature differences (as clear from near-equilibrium expansion) while deviations (below or above the $\eta_{m\Omega}^{CA}$ -value) are appreciable only for relative large temperature differences. From Figure 5, it is also to be noted that the efficiencies under maximum Ω -function behave in the similar manner as the efficiencies under maximum power for the considered models, thus sharing the same kind of universal behaviour. Also, in each case the maximum Ω -function yields higher efficiencies, closer to the Carnot values. In fact, it is easy to check numerically the exact results of the efficiency at maximum Ω can be approximated by the semi-sum of the Carnot value and the exact results of the EMP, $\eta_{m\Omega} = (\eta_{MP} + \eta_c)/2$ (semisum rule).^{4,59}

The Ω function is also applied to the unified low-dissipation (LD) model for heat engines and the corresponding efficiency and its bounds are obtained under general and symmetric conditions.⁶¹ In LD model, the entropy production during the hot (cold) heat exchange process behaves as Σ_h/t_h (Σ_c/t_c), where t_h and t_c denotes the corresponding time durations and Σ_h and Σ_c are dissipation coefficients which account for irreversibility details. Here, infinite time limit recovers the reversible case. The MP criterion when applied to LD models, allows recovering the CA efficiency for symmetric dissipation, without assuming any specific heat transfer law or the linear-response regime. The authors also derived the lower and upper bounds for the efficiency at maximum

power which can be attained under extremely asymmetric dissipation limits. Similarly, Ω - function is maximized for LD model to obtain lower and upper bounds of efficiency by considering, respectively, the asymmetric limits $\Sigma_h/\Sigma_c \rightarrow 0$ and $\Sigma_h/\Sigma_c \rightarrow \infty$:

$$\eta_{\Omega}^{-} \equiv \frac{3\eta_c}{4} \leq \eta_{\Omega} \leq \frac{3-2\eta_c}{4-3\eta_c} \eta_c \equiv \eta_{\Omega}^{+} \quad (42)$$

Under symmetric dissipation i.e. $\Sigma_h/\Sigma_c \rightarrow 1$, efficiency obtained is same as obtained by Angulo *et al.*^{4,139} using the ecological optimization for Endoreversible models and is given as

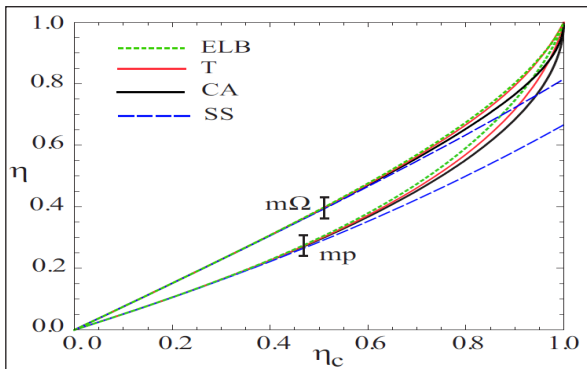


Figure 5. Comparison of efficiency under MP criterion with efficiency under $M\Omega$ criterion as a function of Carnot efficiency for indicated models⁶⁰

$$\eta_{\Omega}^{\Sigma_h=\Sigma_c} = \eta_{\Omega}^{Sym} = 1 - \sqrt{\frac{(1-\eta_c)(2-\eta_c)}{2}} \quad (43)$$

The Ω -optimization for minimally nonlinear heat engines is conducted in Ref.[140] The results show that under tight-coupling conditions, the efficiency and its bounds in asymmetric dissipation limits are the same as those obtained by de Tomas *et al.*⁶¹ for low dissipation heat engines. The efficiency bounds for heat engines under non tight-coupling conditions are also analyzed.

Efficiency Study using Numerical Simulation

CA efficiency has been validated through many theoretical studies^{6,26-28,141} ranging from the heat engines working under the linear regime²⁶⁻²⁸ to the heat engines powered through a quantum mechanism,¹⁴² thus, suggesting a universal nature of CA efficiency. However, to test the validity of CA efficiency experimentally, Y. Izumida *et al.*⁵⁰⁻⁵¹ performed some numerical experiments by means of Molecular Dynamics (MD) simulations of a weakly interacting gas, which can be treated as a nearly ideal gas, in a finite-time Carnot cycle. The authors studied the efficiency at the MP regime, η_{MP} and found that $\eta_{MP} > \eta_{CA}$ but approaches η_{CA} in the limit $T_c \rightarrow T_h$. The assertion given for this difference between η_{MP} and η_{CA} is the additional heat transfers which may be missed in the original derivation of η_{CA} . Let us discuss in detail some of the MD simulation experiments performed for different systems to discuss their efficiency under different operating regimes.

Ideal-gas like system

Model

This model is originally developed and used by Y. Izumida and K. Okuda.⁵⁰ The model consists of a N hard disc particles (weakly interacting particles) of diameter d and mass m , confined in a two-dimensional cylinder with rectangular geometry and the collision between hard-disc particles is assumed to be perfectly elastic. The cylinder is fitted with a piston moving back and forth at a constant speed u which is taken to be a control parameter. The system follows four steps to complete a single quasi-static Carnot cycle. The usual quasi-static Carnot cycle of an ideal gas consists of four processes:

- Isothermal expansion process ($V_1 \rightarrow V_2$),
- Adiabatic expansion process ($V_2 \rightarrow V_3$),
- Isothermal compression process ($V_3 \rightarrow V_4$),
- Adiabatic compression process ($V_4 \rightarrow V_1$),

Where V_i 's are the volumes of the cylinder at which we switch each of the four processes as shown in the Figure 6(a). When we fix T_h, T_c, V_1 , and V_2 , we can easily determine the volumes V_3 and V_4 since we assume an ideal gas as the working substance. For an adiabatic quasi-static process with an ideal gas as a working substance, we have the relation $TV^{\gamma-1} = \text{constant}$. Here γ refers to the ratio of the specific heat capacity at constant pressure to that at constant volume. For a two-dimensional ideal gas, γ is 2. Therefore, $V_3 = (T_h/T_c) V_2$ and $V_4 = (T_h/T_c) V_1$ for the two-dimensional case. In case of finite-time cycle, we assume that the right wall of the cylinder is a piston and moves back and forth at a constant speed u . For this model, this u is taken as a unique and controllable parameter. We also assume that each process is switched at the same volume as in the quasi-static case.

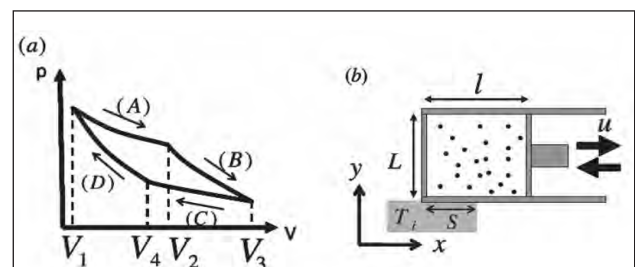


Figure 6. Model description of 2D heat engine Simulation^{50,143}

Defining (x, y) coordinates for cylinder shown in Figure 6(b), let the piston move along the x -axis at a finite constant speed u . Here, the x - length and the y - length of the cylinder are expressed as l and L , respectively. Then the volume V_i ($i = 1, \dots, 4$) of the cylinder at which each of the four processes are switched (Figure 6(a)) becomes $V_i = Ll_i$ where l_i is the x -length of the cylinder at the switching

volume V_f . If the process (A) begins at time $t=0$, then the volume $V(t)$ of the cylinder at time t is given as

$$V(t) = Ll(t) = L(ut + l_1), \text{ for } 0 < t < \frac{l_3 - l_1}{u}, \quad (44)$$

in the expansion processes (A) and (B) and

$$V(t) = L(-ut + 2l_3 - l_1) \text{ for } \frac{l_3 - l_1}{u} < t < \frac{2(l_3 - l_1)}{u}, \quad (45)$$

in the compression processes (C) and (D).

The collision of a particle with the piston can be classified into two categories:

- Piston moving forward (expansion process) and
- Piston moving backwards (compression process).

Piston Moving Forward

Denote v_{0x} , v_{fx} and u_f the initial speed, final speed of the particle and final speed of the moving piston respectively. Conservations of linear momentum and kinetic energy give the relations

$$mv_{0x} + Mu = mv_{fx} + Mu_f \quad (46)$$

and

$$\frac{1}{2}mv_{0x}^2 + \frac{1}{2}Mu^2 = \frac{1}{2}mv_{fx}^2 + \frac{1}{2}Mu_f^2, \quad (47)$$

Where m and M are mass of the particle and piston respectively. From these equations, we obtain the relation

$$v_{fx} = u + u_f - v_{0x} \quad (48)$$

Since we assume that the mass of the piston is very large compared to the mass of the colliding particle, (i.e $M \gg m$), the speed of the piston does not change appreciably due to collision with a single particle. Therefore, the final speed of a colliding particle with the piston becomes

$$v_{fx} \approx 2u - v_{0x} \quad (49)$$

The change in kinetic energy (ΔKE) of a colliding particle, which is the difference between its final and initial kinetic energies, is

$$\Delta KE = \frac{1}{2}m(v_{fx}^2 - v_{0x}^2) = 2mu(u - v_{0x}) \quad (50)$$

Since v_{0x} must be greater than u , Eqn. (50) tells us that the colliding particle loses energy, thereby transferring it to the forward moving piston.

Piston Moving Backward

When the piston is moving backwards (compression process) then two situations arise for the particle collision with the piston. The first and obvious one is when a particle moves to the right. The second is that, while a particle moves to the left, collision occurs as long as the speed of the piston is greater than that the particle. We will see both cases as follows.

If a particle moves to the negative x -axis, the laws of momentum and energy conservations can be written as

$$-mv_{0x} - Mu = -mv_{fx} - Mu_f, \quad (51)$$

and

$$\frac{1}{2}mv_{0x}^2 + \frac{1}{2}Mu^2 = \frac{1}{2}mv_{fx}^2 + \frac{1}{2}Mu_f^2 \quad (52)$$

Again, using the fact that $M \gg m$, the final speed of a colliding particle obtained from the above two equations is

$$v_{fx} \approx -(2u + v_{0x}) \quad (53)$$

and the corresponding change in the particle's kinetic energy is

$$\Delta KE = 2mu(u + v_{0x}) \quad (54)$$

If a particle moves towards the positive x -axis, using the laws of conservation of linear momentum and kinetic energy, we find the final speed of a colliding particle is

$$v_{fx} \approx -(v_{0x} + 2u), \quad (55)$$

and the corresponding change in kinetic energy is

$$\Delta KE = 2mu(u + v_{0x}) \quad (56)$$

Thus, it can be concluded from above discussions that, if a particle with the velocity $\vec{v}_0 = (v_x, v_y)$ collides with the piston whose x -velocity is $\pm u$, its velocity changes to $\vec{v}_f = (-v_x \pm 2u, v_y)$. Therefore, the particle does microscopic work of amount $m(|\vec{v}|^2 - |\vec{v}_f|^2)/2 = 2m(\pm uv_x - u^2)$ against the piston. To simulate the heat reservoirs for isothermal processes, thermal wall is at desired temperature with the length S at the left bottom of the cylinder. The thermal wall has the following feature:¹⁴⁴⁻¹⁴⁵ The collision of a particle with the thermal wall changes its velocity stochastically to the value governed by the distribution function

$$f(\vec{v}, T_i) = \frac{1}{\sqrt{2\pi}} \left(\frac{m}{k_B T_i} \right)^{\frac{3}{2}} v_y \exp\left(-\frac{mv^2}{2k_B T_i}\right) \quad (57)$$

($-\infty < v_x < +\infty, 0 < v_y < +\infty, T_i (i = h \text{ in } (A), c \text{ in } (C))$), where k_B is Boltzmann constant. The thermal wall may be understood as follows. Imagine a large particle reservoir thermalized at a temperature T_i ($i = h$ or c) instead of the thermal wall and assume that if a particle in the cylinder goes out into the particle reservoir, another particle from the particle reservoir enters into the cylinder. This consideration can be seen as the particle entering in to the cylinder from the particle reservoir obeys the velocity distribution function proportional to the Boltzmann factor multiplied by v_y as given in Eq.(57) after normalization. This thermalizing wall guarantees that the particle velocities in the static system are governed by Maxwell-Boltzmann distribution with temperature T_i :

$$f_{MB}(\vec{v}, T_i) = \frac{m}{2k_B T_i} \exp\left(-\frac{mv^2}{2k_B T_i}\right) \quad (58)$$

The heat flowing from the thermalizing wall into the system can microscopically be calculated by the difference of the kinetic energies before and after the collision with the thermal wall. The microscopic heat as well as microscopic work during the simulation can be summed up. At the walls, the reflecting boundary condition for colliding particles are used except for the piston and the thermal wall.

Simulation Results

The simulation technique used is event driven molecular dynamics simulation. The values used in Ref.[50] are $N = 100$ particles with diameter, $d = 0.01$ and mass, $m = 1$ in the system with $L = 1, l_1 = 1, l_2 = 1.5, T_h = 1, T_c = 0.7, k_B = 1$ and length of the reservoir, $S = 0.5$. All parameters except T_c are fixed in all simulation. As time passes, thermodynamic variables should draw a steady cycle independent of initial states. Figure 7, shows the temperature-volume diagram for the steady cycle at $u = 0.01$ and $u = 0.001$, where $k_B T$ is determined by summing up the kinetic energy of all particles and then using the equipartition principle. From this figure, we can see that in the isothermal expansion (compression) process, the temperature approaches a steady value lower (higher) than T_h (T_c) at $u = 0.01$. This can easily be understood: For a finite u , heat should flow into the system at a finite rate to maintain the steady cycle. Therefore, the finite difference of the temperatures between the system and the heat reservoir is necessary. The cycle for $u = 0.001$ almost agrees with the quasi-static Carnot cycle of an ideal gas. This implies that considered system of the hard-disc particles closely approximates an ideal gas system. We can see from the figure that as the speed of the piston gets very small, the molecular dynamics simulation result approaches to that of the quasi-static result. This result ensures that the model can describe the ideal gas model heat engine to a good accuracy.

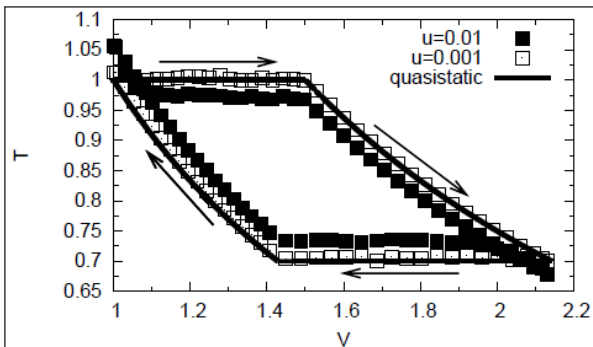


Figure 7. Temperature-volume (T - V) diagram for the steady cycle at $u=0.01$ and $u=0.001$. Solid line is for quasi-static Carnot cycle⁵⁰

The efficiency $\eta = W_{\text{total}}/Q_{h,\text{total}}$ and the power $P = W_{\text{total}}/\tau$ are calculated, where W_{total} is the total work against the piston, $Q_{h,\text{total}}$ is the total heat flowing into the system from the hot heat reservoir and τ is the total time for the simulation. Figure 8, shows variation of power (P) at various u . We have found that the maximal power is realized at $u \approx 0.015$.

Figure 9 compares the efficiency at maximal power $\eta_{\text{max}} = \eta(u_{\text{max}})$ with the CA efficiency at $T_h = 1$ and various T_c , where u_{max} is the speed giving the maximal power (obtained by plotting power vs piston speed (Figure 8)). We have found that our η_{max} does not always agree with η_{CA} but tends to

approach η_{CA} as $T_c \rightarrow T_h$ for both of the MD data and the numerical line.

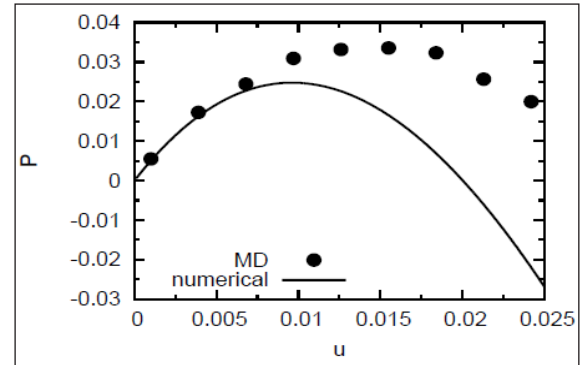


Figure 8. Power (P) vs piston speed (u)⁵⁰

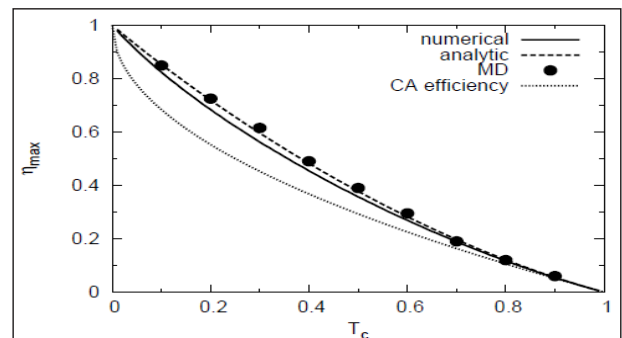


Figure 9. The efficiency at the maximal power η_{max} vs T_c ⁵⁰

The MD simulation efficiencies resulting under MP and ME conditions have been derived and then compared in Ref.[146] by using nearly ideal gas working substance enclosed in a 2D-heat engine. The model is quite similar to that considered by Izumida et al.⁵⁰ Apart from MP and ME criterion, a modified version of function $E^{\text{58,147}}$ was taken into consideration for optimization and is given by

$$E_\epsilon = P(\eta) - \epsilon T_c \sigma(\eta) \quad (59)$$

Where ϵ is a parameter that depends on the heat transfer law. If $\epsilon = 1$ in Eq. (59), the original ecological function is recovered⁴; on the other hand, when $\epsilon = \sqrt{\tau^{-1}}$, the modified ecological function is obtained for a Newtonian heat transfer law. When E is maximized for a linear heat transfer law the engine efficiency becomes,

$$\eta_E = 1 - \sqrt{\frac{(1+\tau)\tau}{2}} = \frac{1}{2}(\eta_c + \eta_{\text{CA}}), \quad (60)$$

η_c being the Carnot efficiency $\eta_c = 1 - \tau$ and $\tau = T_c/T_h$. On the otherhand, when E_ϵ is maximized for the case of Newtonian heat fluxes, the obtained optimal efficiency is.^{58,147}

$$\eta_{E_\epsilon} = 1 - \tau^{\frac{3}{4}} \quad (61)$$

The MD simulation results under MP, ME and ME_ϵ are presented in Figure 10. In the $\tau \rightarrow 1$ limit (where adiabatic processes times are negligible, compared with the isothermal processes times) MD-MP efficiency approaches η_{CA} , however, for any value of τ , MD-ME and MD - ME_ϵ

efficiencies are in good agreement with $\eta_{E\epsilon}$, which is also close to the upper bound given by the low dissipation model with ME criterion ($\eta_{MD,LD}^{upper}$) (see Eq. 42). The shaded region represents the so-called operability region for heat engines. In the operability region, it is observed that as τ decreases the MD-MP points start approaching $\eta_{E\epsilon}$ and below $\tau = 0.5$, efficiency in both cases is better represented by $\eta_{E\epsilon}$ than by η_{CA} . Thus, interestingly, there is an overall agreement between the computed MD efficiencies at these optimization regimes and $\eta_{E\epsilon} = 1 - \tau^{\frac{3}{4}}$.

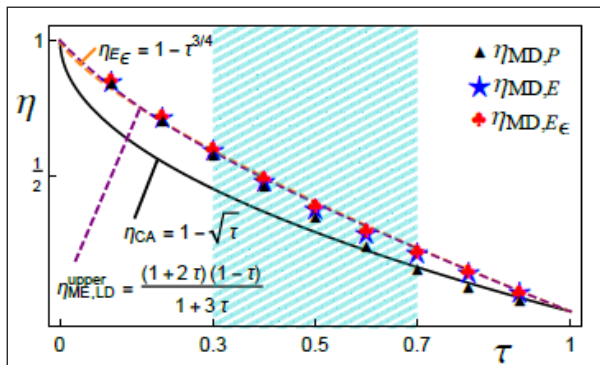


Figure 10. MD simulation results for MP, ME and $M_{E\epsilon}$ ¹⁴⁶ Real-gas like system: ¹⁴⁸

To simulate a real gas in a finite-time Carnot cycle by means of MD simulations, the working substance is chosen to be made of highly dense spherical particles, that is, for which intermolecular interactions are not negligible. The simulation model used in the present work shares some similarities with the approach used by Izumida et al.⁵⁰ To integrate Newton's equations of motion, Velocity Verlet algorithm was used. The efficiency and power of the model heat engine were computed by varying the values of the parameters. In particular, the efficiency at various process rates were compared to theoretical predictions.

Simulation Model

The model engine consists of a 3-dimensional cubic box of length L containing N identical gas particles of mass m . One of the box sides is made of a moveable piston where as the other opposite side is a thermalizing wall, as shown in Figure 6. At each side of the box, periodic boundary conditions are applied on the gas particles, except those at which the piston and thermalizing wall are located. The potential through which the gas particles interact is considered to be Lennard-Jones potential which is given by:

$$u^{LJ}(r) = 4\epsilon \left[\left(\frac{\sigma}{r} \right)^{12} - \left(\frac{\sigma}{r} \right)^6 \right] \quad (62)$$

where r is the inter-particle separation, ϵ is the depth of the potential well and σ is the distance between two particles at which inter-particle potential becomes zero. Moreover, the potential is truncated at a distance 2.5σ and shifted, making long range interactions negligible.

Since the potential described by Eq. (62), depends only on inter particle separation, the particles are assumed to be spherical.

Simulation Result

The values used are $N = 4000$, $T_c = 1.25$, $L = 50$, $k_B = 1$, $\epsilon = 1$, $\sigma = 1$ and $m = 1$. All these parameters except the number of particles are in reduced unit and are kept constant for all simulations. The Lennard-Jones parameters σ , ϵ and m are chosen to be the units of distance, energy and mass respectively. Moreover, the temperature of the cold reservoir becomes $T_c = 268\text{ K}$ while the range of variation of the hot reservoir temperature is $[310\text{ K} - 375\text{ K}]$. As for the piston speed, the range of values studied in the present work is $1.164 \times 10^{-3}\text{ m/s}$ to $1.164 \times 10^{-1}\text{ m/s}$. Xenon gas is used for illustration because as a monatomic gas, it is well suited for being modelled by Lennard-Jones spheres. The molar volume of the present model system is 0.895 lt/mol which is small compared to that of an ideal gas, 22.414 lt/mol , but large enough compared to that of water, 0.018 lt/mol . Although it seems that gas is more than 10 times denser than the ideal gas at standard conditions, but there is another method to verify the real gas regime by simply calculating the average inter-particle distance which is about 2.15σ . Since this value is smaller than the particle-particle interaction cut-off distance r_c , it implies that each particle is in average within the interaction range of its nearest neighbours. Hence, it is believed the substance is sufficiently concentrated to be treated as a real gas. The engine operates at different piston speeds ranging from $u = 0.001$ to $u = 0.1$ in the isothermal branches of the cycle, while the adiabatic processes are performed at a uniform speed $u' = 0.01$ when $u \leq 0.01$ and $u' = 0.1$ when $u > 0.01$. Note that values of u' essentially have been set for practical purpose because, it cannot be externally imposed, being the result of the spontaneous expansion (compression) of the substance. It should also be pointed out that another choice for the value of u' does not change the overall result as long as $u' > u$.

The temperature-volume (T - V) diagram of finite-time processes for $T_h = 1.45$ is shown in Figure 11, and for three values of the piston speed including the quasi-static case for comparison purpose. It has been observed that for relatively small piston speeds, the cycle obtained is quite similar to the for the quasi-static process, where as larger piston speed causes a significant departure and thus characterized by a reduction of the area inside the cycle. In fact, the temperature of the gas is not only subjected to fluctuations but its average is systematically higher (lower) to that of the cold (hot) reservoir, the deviation becomes more important as the piston speed increases. This result can be explained with the fact that when a heat engine operates at a finite piston speed, it exchanges heat with

reservoirs at a finite rate in order to keep a steady cycle. A temperature gradient between the working substance and the heat reservoirs is therefore required to create such conditions.

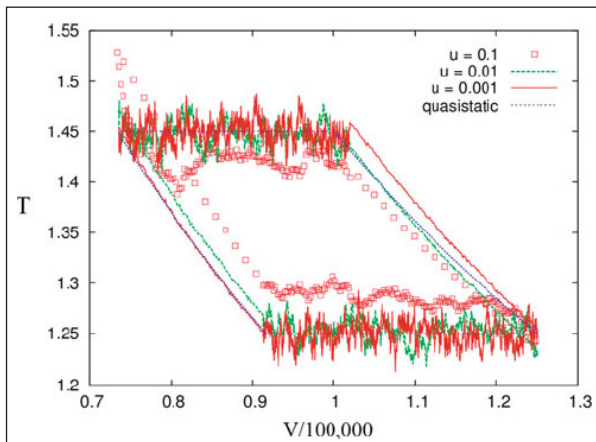


Figure 11. Temperature-Volume (T-V) diagram for different piston speeds¹⁴⁸

Figure 12 represents the output power per cycle as a function of piston speed for various values of the hot reservoir temperature. The behaviour of all curves is almost similar, that is, power increases initially up to a given value of the piston speed followed by a steady decrease beyond that point. This can be explained as: for slower processes the time needed to complete a full cycle, is too large making the power vanishingly small; on the other hand, for processes at large piston speed, only a small amount of the supplied heat is converted to work, hence, yielding again negligibly small values for P . Note that the piston speed at which the power becomes maximum is almost the same for all temperatures T_h . This interesting finding may indicate that there is an optimum piston speed not dependent upon the temperature of the heat reservoirs, at least for the range of temperatures investigated in the present work and thus may have practical implications on the design of heat engines.

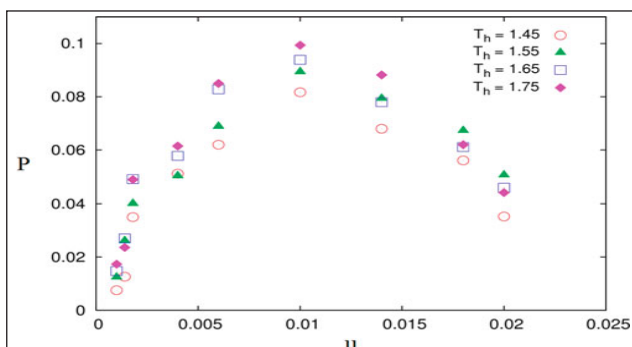


Figure 12. Power dependence on u for different T_h ¹⁴⁸

The variation of the efficiency with the temperature ratio T_c/T_h for different values of the piston speed is demonstrated in Figure 13. For all values of piston speeds, the efficiency is monotonically decreasing and approaches zero as the

temperature ratio approaches 1, an expected result. It is clear from the Figure 13 that the efficiency varies linearly with the temperature ratio for a small value of piston speed and this is similar to the quasi-static case for which $\eta_c \propto \frac{T_c}{T_h}$. For a piston speed close to the one yielding the maximum power (Figure 12), in this case $u = 0.01$, the efficiency dependence becomes $1 - \left(\frac{T_c}{T_h}\right)^a$, where $a \approx 0.43$, which is slightly smaller than the exponent in the expression of the Curzon-Ahlborn efficiency. This implies that the Curzon-Ahlborn efficiency is not well suited to represent model engine based on a highly dense real gas. The operation of such engine can be optimized by applying a unified optimization criterion developed by Hernandez and his colleagues⁷ that makes a good compromise between efficiency and power. The investigation carried out by varying the temperature of the hot heat reservoir, shows that the optimum piston speed depends weakly on temperature.

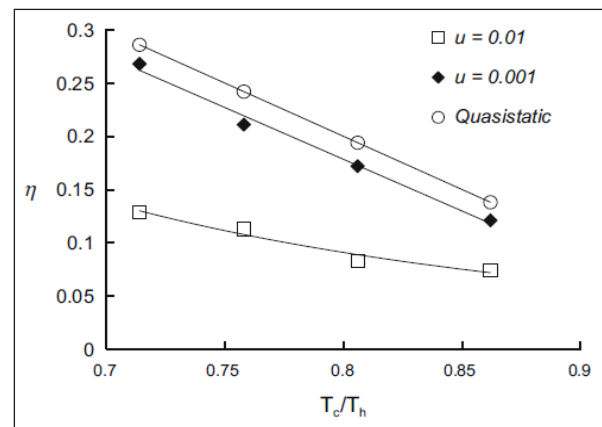


Figure 13. Efficiency dependence on temperature ratio for three values of piston speed¹⁴⁸

Efficient Power Criterion

Different optimization criteria based on thermodynamic, economic, compromised, and sustainable considerations can be suggested. Efficient power was introduced by Stucki¹⁴⁹ while studying the mitochondrial energetic processes within the context of the linear non-equilibrium thermodynamics. Later the idea was extended by Yan and Chen⁶⁵⁻⁶⁶ to the regime of FTT and given the so-called name efficient power by Yilmaz.¹²⁻¹³ His intention was to get a trade-off between the delivered power and the efficiency for a heat engine. He called this new function as "Efficient Power" introduced defined as the product of the power output by the efficiency: $P_\eta = P\eta$. It is shown that the efficient power criterion is also well suited to study the optimization of biological systems,^{139,149-151} steady and non-steady electric energy converters,¹⁵² thermionic generator,¹⁵³ and low dissipation heat engines.¹⁵⁴ For some naturally designed biological systems, Maximum Efficient Power (MEP) conditions may lead to more efficient engines

than those at maximum Omega function ($M\Omega$) or ecological function.¹⁵¹ It has been observed that the universal features of efficiency are not exclusive to the conditions of MP and $M\Omega$ but also extend to the engines operating in MEP regime also as shown below⁶⁵

$$\eta_{MEP} = \frac{2}{3}\eta_c + \frac{2}{27}\eta_c^2 + O(\eta_c^3), \quad (63)$$

Where in, the universality of linear term and quadratic term is related to tight-coupling condition and to left-right symmetry respectively as discussed for MP and ME criterion. These two terms in the above equation were also derived for the MEP of a nonlinear irreversible heat engine¹⁵² working in strong coupling limit under the symmetric condition by using master equation model. The low dissipation model with symmetric dissipation also displays similar behaviour (Eq. (63)) up to quadratic term while for asymmetric dissipation, the lower and upper bounds for the efficiency in MEP regime are derived.¹⁵³

Multi-parameter Combined Performance Criteria

Yan⁶⁵⁻⁶⁶ introduce a multi-parameter combined objective function of the efficiency and power output as

$$F = \eta^\lambda P \quad (64)$$

where λ is a weight factor whose value may be chosen from zero to infinity. The chosen parameter λ here has explicit physical meaning, because every value of λ corresponds to one particular function. This general multi-parameter combined objective function can be adopted as the optimization criterion instead of the single optimization criterion to derive the universal expression of the efficiency for a simple model of heat engines

In Ref.[151], by using stochastic thermodynamic analysis with a master equation description of a driven open system¹⁵⁵, the universal expression of the optimization efficiency with a multi-parameter combined objective function is derived. The results obtained show that the optimization efficiency displays universality up to quadratic order term of η_c for the strong coupling systems in presence of left-right symmetry. It has been shown that the optimal results derived from the multi-parameter combined objective function can be directly used to describe the performance of nonlinear irreversible heat engines operating at the maximum power ($\lambda = 0$), the maximum efficiency-power state ($\lambda = 1$), the maximum ecological or unified trade-off function ($\lambda = 2$), and the maximum efficiency ($\lambda = \infty$). This study fully embodies the advantages of using the multi-parameter combined objective function to discuss the optimization problems of thermodynamic systems.¹⁵⁶

Discussion

In this review paper, we had briefly discussed the optimization of heat engines under different objective

functions since thermodynamic optimization has a crucial role in identifying the mechanism that provides optimal efficiency for finite-time processes. We discussed the key procedures to derive the optimization efficiencies at maximum power, maximum ecological (or Omega) function and maximum efficient power. First, we investigated the Efficiency at Maximum Power (EMP) for different models of heat engines. The universal behavior of EMP can be observed in the study of these models up to second order when expanded near-equilibrium. However, this universality seems to be broken down for the quantum dot engine. The origin of this universality breaks down lies in terms of irreversible thermodynamics and a singular behaviour of the mechanical current. In fact, the absence of linear response regime of thermodynamic fluxes may yield various values of the linear coefficient in the standpoint of irreversible thermodynamics. It has been noted that heat engines operating at MP are not the most efficient ones and, hence, are not much economical. Actual thermal plants and heat engines should not operate at MP , but in a regime with slightly smaller power and appreciable larger efficiency.¹⁵⁷⁻¹⁵⁸ The optimization of Omega criterion (ecological criterion) and efficient power criterion falls in such a regime. They pay equal attention to both power output and efficiency. Firstly, we investigated the efficiency at maximum Ω criterion (or ecological criterion) for the heat engine models considered for maximum power optimization. It was verified that the efficiency at maximum Ω criterion also exhibits universality up to quadratic order in the deviation from equilibrium for the strong coupling systems in the presence of a symmetry condition. Finally, optimization of another objective function named efficient power has been investigated and it also leads to universal behaviour of efficiency in different models. This condition is in agreement with that of the universal efficiency at maximum power. Then, a multi-parameter combined objective function is discussed which includes different objective functions is appearing in literature. Recently, it has been pointed out that the problem of local stability of operation regimes can be related with the regime's optimization itself.¹⁵⁹⁻¹⁶¹

Conclusion

Concluding, we can say that, to achieve an engine's optimized performance, a suitable performance criterion has to be introduced and optimized. The use of various performance criteria leads to different performances in optimization and is suitable for different specific considerations. Although, there are many approaches to describe good performance regimes of heat engines but still there is no systematic method on which these criteria are based. We have the freedom to select any performance criterion based on thermodynamic, economic, compromised, and sustainable considerations.

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